



04/06/00

4-10-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICECERTIFICATE OF EXPRESS MAILING

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Mailing Label Number **EL357580654US**.

Attorney Docket No.: SRI1P028

First Named Inventor: DONALD A.
SHOCKEY

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:
DONALD A. SHOCKEY; DAVID C. ERLICH; and JEFFREY W. SIMONS

For: PENETRATION - AND FIRE - RESISTANT FABRIC MATERIALS AND STRUCTURES

Application Elements:

- ☒ 39 Pages of Specification, Claims and Abstract
- ☒ 19 Sheets of **formal/informal** Drawings
- ☒ 02 Combined Declaration and Power of Attorney
- ☒ 02 Verified Statement Claiming Small Entity Status

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ Separate Power of Attorney by Assignee
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Small Entity Statement(s)
- ☐ Other:

Claim For Foreign Priority

☐ Priority of _____ Application No. _____ filed on _____ is claimed under
35 U.S.C. § 119.

- ☐ The certified copy is enclosed.
☐ The certified copy will follow.

Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE	OR FEE	LARGE ENTITY RATE	FEE
BASIC FEE			\$345	\$ 345.	OR	\$690 \$
TOTAL CLAIMS	<u>79</u> -20 = <u>59</u>		x09 =	\$ 531.	OR	x18 = \$
INDEP CLAIMS	<u>11</u> -03 = <u>8</u>		x39 =	\$ 429.	OR	x78 = \$
[] Multiple Dependent Claim Presented			\$130 =	\$	OR	\$260 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$1305.00	OR	Total \$

☒ Check No. 1127 in the amount of \$ 1345.000 is enclosed (FEE INCLUDES RECORDATION FEE).

☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0384 (Order No. _____).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0384 (Order No. _____).

☒ Please send correspondence to the following address:

HICKMAN STEPHENS COLEMAN & HUGHES, LLP

P.O. Box 52037

Palo Alto, CA 94303-0746

Tel (408) 558-9950

Fax (408) 558-9960

Customer No.::



23053

PATENT TRADEMARK OFFICE

Date:

4-6-2000

L. Keith Stephens

L. Keith Stephens
Reg. No. 32,632

HS&C Docket No. SRI1P028

SRI Docket No. US3815-3

PATENT

**VERIFIED STATEMENT CLAIMING SMALL-ENTITY STATUS
(37 CFR 1.9(f) & 1.27(d))--NONPROFIT ORGANIZATION**

Applicant or Patentee: Donald A. Shockey et al.

Application No.:

Filed: Herewith

Patent No.:

Issued:

Title: PENETRATION AND FIRE-RESISTANT FABRIC MATERIALS AND
STRUCTURES

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: SRI International
ADDRESS OF NONPROFIT ORGANIZATION: 333 Ravenswood Avenue
Menlo Park, CA 94025-3493

TYPE OF NONPROFIT ORGANIZATION:

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☒ TAX-EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
- ☒ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE: California)
(CITATION OF STATUTE: Sections 5110 et seq., California Corporations Code)
- ☐ WOULD QUALIFY AS TEXT-EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE:)
(CITATION OF STATUTE:)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention in:

- ☒ the specification filed herewith with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate verified statements averring to their status as small entities and that no rights to the invention are held by

HS&C Docket No. SRI1P028
SRI Docket No. US3815-3

PATENT

any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

☒ no such person, concern, or organization exists.

☐ each such person, concern, or organization is listed below.

NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small-entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

TITLE IN ORGANIZATION OF PERSON SIGNING:

ADDRESS OF PERSON SIGNING:

Mary Lou Joyner
Assistant Secretary
333 Ravenswood Avenue
Menlo Park, CA 94025-3493

SIGNATURE: Mary Lou Joyner

DATE: 13-Mar-00